

06-29-01

A

FORM PTO-1082

Case Docket No. STL920000095US1

June 28, 2001

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Express Mail Label No. EL821158227US
0055.0040

Dear Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): J.J. Lin; W.F. Miller; and A.T. Young
For: **METHOD, SYSTEM, AND PROGRAM FOR USING OBJECTS IN DATA STORES DURING EXECUTION OF A WORKFLOW**

Enclosed are:

- ☒ 12 No. of Sheets of Drawings Sheet(s) of drawings + 0 extra copies;
28 pages of Application; 18 pages of specification, 1 page of abstract
☒ An assignment of the invention to International Business Machines Corporation. (Will follow.)
☐ An associate power of attorney.
☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27.
☒ Declaration and Power of Attorney. (Will follow.)
☐ Certified copy of Patent Application No. filed from which priority is claimed under 35 U.S.C. §119.
☐ IDS enclosed. with references.



30974 U.S. PTO
09/894413
06/28/01

CALCULATION OF FEES								
ITEM		NO. OF CLAIMS FILED MINUS BASE*		NO. OF CLAIMS OVER BASE	X SM/LG ENTITY FEE	\$ AMOUNT	\$ FEE	
A	TOTAL CLAIMS FEE	36	- 20* =	16	X \$9 or \$18	\$288		
B	INDEPENDENT CLAIMS FEE**	6	- 3* =	3	X \$40 or \$80	\$240		
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)						528	
D	MULTIPLE-DEPENDENT CLAIMS FEE		SMALL ENTITY FEE = \$135; LARGE ENTITY FEE = \$270			\$0		
E	BASIC FEE*		SMALL ENTITY FEE = \$355; LARGE ENTITY FEE = \$710			\$710		
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)						\$1,238	
G	ASSIGNMENT RECORDING FEE						\$ 40	\$40
		**LIST INDEPENDENT CLAIMS 1, 3, 13, 15, 25, 27						

Please charge Dep. Acct. No. 09-0460 in the amount of

\$1,278

A copy of this sheet is enclosed.A check in the amount of \$ to cover the filing fee is enclosed.Check for \$ covering the Recordation of Assignment fee enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0460. **A copy of this sheet is enclosed.**

☒ Any additional filing fees required under 37 CFR 1.16.☒ Any patent application processing fees under 37 CFR 1.17.

☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 09-0460. **A copy of this sheet is enclosed.**

☒ Any patent application processing fees under 37 CFR 1.17.☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

David W. Victor
David W. Victor
Registration No. 39,867

Direct All Correspondence to:

David W. Victor
KONRAD RAYNES & VICTOR LLP
315 S. Beverly Drive; Suite 210
Beverly Hills, CA 90212

Direct Telephone Calls to:

(310) 556-7983

Express Mail Label No. EL821158227US
PATENT
STL920000095US1
0055.0040

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for
J.J. Lin, W.F. Miller and A.T. Young
Serial No.: --
Filed: June 28, 2001
For: **METHOD, SYSTEM, AND
PROGRAM FOR USING OBJECT IN
DATA STORES DURING EXECUTION
OF A WORKFLOW**

Examiner: --

Art Unit: --

CERTIFICATE OF MAILING

Assistant Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

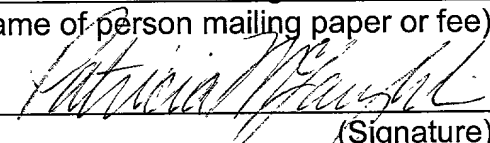
"Express Mail" Label No. EL821158227US

I hereby certify that patent application papers, including specification, claims and 12 sheets of drawings are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

June 28, 2001

(Date of Deposit)

Patricia McLaughlin
(Name of person mailing paper or fee)


(Signature)